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Perovskite crystallization dynamics during spin casting: an *in situ* wide angle X-ray scattering study.

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Abstract

In situ wide angle X-ray scattering (WAXS) has been measured during the spin coating process used to make the precursor films required for the formation of thin films of perovskite. A customized hollow axis spin coater was developed to permit the scattered X-rays to be collected in transmission geometry during the deposition process. Spin coating is the technique most commonly used in laboratories to make thin perovskite films. The dynamics of spin casting MAPbI_{3-x}Cl_x and FAPbI_{3-x}Cl_x films have been investigated and compared to investigate the differences between the dynamics of MAPbI_{3-x}Cl_x and FAPbI_{3-x}Cl_x film formation. In particular we focus on the crystallization dynamics of the precursor film formation. When casting MAPbI_{3-x}Cl_x we observed relatively fast 1D crystallization of the intermediate product MA₂PbI₃Cl. There was an absence of the desired perovskite phase formed directly; it only appeared after an annealing step which converted the MA₂PbI₃Cl to MAPbI₃. In contrast, slower crystallization via a 3D precursor was observed for FAPbI_{3-x}Cl_x film formation compared to MAPbI_{3-x}Cl_x. Another important finding was that some FAPbI_{3-x}Cl_x perovskite was generated directly during spin casting before annealing. These findings indicate that there are significant differences between the crystallization pathways for these two perovskite materials. These are likely to explain the differences in the lifetime of the resulting perovskite solar cell devices produced using FA and MA cations.

1. Introduction

Hybrid organic-inorganic perovskites have received a great deal of attention as materials for the next generation of solar technology. They are attractive due to their high power conversion efficiency (PCE), low cost and ease of fabrication process when compared to other solar cell

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3 materials. They have remarkable defect tolerance resulting in high performance devices
4 produced from relatively crude processing techniques¹. The power conversion efficiency of
5 perovskite devices has increased rapidly jumping from 3.8% in 2009² to more than 25.2%
6 efficiency recorded in 2017³. However, they are yet to become widely available commercially
7 with limitations including perovskite decomposition in air and concerns about toxicity of the
8 lead. The performance of the devices is inextricably linked to properties of the films formed
9 such as their morphology, coverage and conformity to the underlying substrate^{4, 5}. Therefore,
10 there is a definite need for a deep understanding of the dynamics of crystal formation during
11 film processing to ensure that the crystal growth can predictably be controlled because the
12 thermodynamic stability and/or the presence of defects within the final films produced will
13 have a profound effect upon on the performance of the resulting devices. Methylammonium
14 Lead iodide (MAPbI₃) has emerged as one of the most popular perovskites to study. It is often
15 formed from a 3:1 MAI:PbCl₂ solution, where the Cl ions play a role in controlling the
16 crystallization of the perovskite⁶⁻¹⁰. MAPbI₃ formation is achieved by spin casting, during
17 which time the solution (which may already contain isolated precursor crystals¹¹) dries to leave
18 a film of precursor material. This precursor material is a mixture primarily composed of
19 MA₂PbI₃Cl(s) + MAcl(amorphous) and upon annealing is converted to MAPbI₃ as the MAcl
20 sublimes¹² into the surrounding atmosphere (with the amorphous MAcl subliming before the
21 MAcl produced as the MA₂PbI₃Cl decomposes⁸). There have been many *ex situ* x-ray
22 scattering studies of perovskite films which have helped to determine the final crystal structures
23 and crystallite size distribution, however in order to obtain a greater understanding of the
24 crystallization processes themselves it is necessary to study the process *in situ*.

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Several studies have focused on the dynamics of the precursor conversion to perovskite. Chun-
Yu Chang *et al.* studied the formation mechanism of MAPbI₃ film prepared by drop casting
onto a substrate at temperatures between 70°C and 180°C using *in situ* X-ray scattering¹³. Their

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3 findings indicate that the perovskite formation varies with substrate temperature and direct
4 formation of the MAPbI₃ perovskite is associated with substrate temperatures of 140°C and
5 higher. Similar *in situ* methods have been applied to track the latter stages of MAPbI₃ formation
6 during annealing of precursor films pre-prepared by spin coating¹³⁻¹⁵. The highly crystallized
7 perovskite films were observed to form during thermal annealing at a temperature of 80 °C.
8 Annealing times of more than 1hr at 80 °C resulted in films which when incorporated in devices
9 achieve a PCE of 12%¹⁴. Moore *et al.* used x-ray scattering to study the crystallization kinetics
10 of Organic–Inorganic Trihalide Perovskites finding that the primary step in perovskite
11 formation is removal of excess organic salt from the precursor which led them to propose that
12 careful choice of the lead salt will aid in controlling crystal growth¹⁶. They also made films
13 using different solvents and found little difference in the perovskites formed and therefore
14 discerned that the solvent was not incorporated within the crystal structure of the precursor
15 films, although their TGA data indicated some residual solvent remained in the films. They
16 also noted that a high degree of orientation of the films formed in the direction normal to the
17 substrate. Lilliu *et al.* also utilized the grazing incidence geometry to study crystallization in
18 MAPbI_{3-x}Cl_x¹⁷. They observed a slight rotation as well as an expansion or contraction of some
19 of the precursor and perovskite crystallites upon annealing. Alsari *et al.* used in operando
20 measurements of interdigitated back contact solar cells to demonstrate that the precursor film
21 converts into perovskite with a well-defined band gap early in the transformation highlighting
22 the remarkable defect tolerance of these materials¹. The photovoltage the observed peaks early,
23 when only a fraction of the precursor has been converted to perovskite, presumably due to the
24 photovoltaic effect occurring in a limited number of isolated crystals. This photovoltage then
25 drops slightly as the conversion completes which they attribute to induced strain and defects at
26 grain boundaries. Stone *et al.* revealed the structure of the precursor material⁸. They found that
27 the precursor film contains 1D chains of MA₂PbI₃Cl coexisting with disordered MA₂Cl. This
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3 precursor forms a continuous film free of pinholes. The disordered MACl present dramatically
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5 hinders the formation of the perovskite because upon annealing the disordered MACl must first
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7 evaporate before the MA_2PbI_3Cl converts to $MAPbI_3$ and yet more MACl which is liberated as
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9 a gas. The time taken for the disordered MACl to evaporate slows the conversion process
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11 allowing the pinhole free morphology to be retained. If the annealing process for either MA or
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13 FA perovskites proceeds too long the perovskite subsequently degrades into PbI_2 ^{16, 18}.
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15 Understanding of the precursor film to perovskite has been improved significantly by these *in*
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17 *situ* studies. However, there is still a lack of understanding of the mechanisms that result in the
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19 formation of the original precursor films which will clearly have a strong influence on the final
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21 film properties. This limits our ability to deliberately control the morphology of the films
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23 formed. This limits our ability to deliberately control the morphology of the films
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25 formed.
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29 Munir *et al.* studied the precursor film formation. They used *in situ* grazing incidence wide
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31 angle x-ray scattering to study the formation of $MAPbI_3$ during spin casting ⁷. They found that
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33 the amount of halide present influences the phase of the precursor, and when MAI:PbCl₂ are
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35 used in a 3:1 ratio (as opposed to 1:1) retaining substantially more solvent in the film. The extra
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37 MAI alters the solidification process resulting in a slower drying process and a less ordered
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39 and less stable precursor film that upon annealing loses significant mass which they attributed
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41 to solvent drying.
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45 Here we focus on the dynamics of the precursor formation. We study the early stages of the
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47 process *in situ* during the spin coating to produce the precursor films before thermal annealing
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49 in air. We focus primarily on the formation of $MAPbI_3$ from a 3:1 mixed solution of MAI:PbCl₂
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51 in dimethylformamide (DMF) and we subsequently compare the results to the formation of
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53 $FAPbI_{3-x}Cl_x$ from a similar 3:1 mixed solution (in DMF) of FAI:PbCl₂. Whilst this study
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55 focuses on perovskite film formation for solar cell applications it should be noted that the
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3 experimental setup developed for this investigation could equally be used to study the spin
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5 casting of other materials.
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10 **2. Experimental Methods**

13 **a. Perovskite Preparation**

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16 Two of the commonly investigated perovskite materials MAPbI_3 and $\text{FAPbI}_{3-x}\text{Cl}_x$ have been
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18 studied during spin casting into thin films. The perovskite solutions used for the deposition
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20 were prepared following similar processes to those reported in the literature ¹⁹⁻²¹. In brief, to
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22 prepare the casting solution for MAPbI_3 , methylammonium iodide (MAI) and lead chloride
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24 (PbCl_2), purchased from Sigma-Aldrich and used without further purification, were mixed in a
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26 3:1 molar ratio. The mixture was dissolved in anhydrous dimethylformamide (DMF), also
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28 purchased from Sigma-Aldrich, to form a 3.2 M solution. Similarly, the casting solution for
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30 $\text{FAPbI}_{3-x}\text{Cl}_x$ was prepared by mixing formamidinium iodide (FAI), purchased from Ossila Ltd,
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32 and lead chloride (PbCl_2), purchased from Sigma-Aldrich, in the same 3:1 molar ratio. The
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34 mixture was then dissolved in anhydrous DMF to form a 3.2 M solution as reported in the
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36 literature ²². In both cases the solutions were heated for 30 min on a hotplate surface at 70°C to
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38 ensure complete dissolution of the mixed powder products before deposition.
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45 **b. *In situ* WAXS experimental setup**

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47 2D WAXS images from the perovskite solution and crystalline film were measured *in situ*
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49 during the spin casting process. Mica sheets $1 \times 1 \text{ cm}^2$ were used as the substrate for these
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51 measurements because mica is known to be largely X-ray transparent with on a few scattering
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53 spots associated with its crystalline structure. It was assumed that the crystallization that occurs
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55 when spin casting on mica would be similar to casting on more conventional substrates; this
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57 assumption was supported by the similar morphologies observed by SEM and similar optical
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3 absorbance properties. A substrate was mounted on the custom built spin coater, shown in
4 **Fig. 1.**, which had a hollow shaft to permit X-ray transmission through the substrate and sample
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6 during the solution casting process. The spin coater was oriented such that its rotation occurs
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8 in a vertical plane perpendicular to the incident X-ray beam which was horizontal
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10 approximately along the axis of rotation. A spin speed of 1660 rpm was used. Rotating the
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12 substrate in a vertical plane has the advantage of making alignment of the x-ray beam to the
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14 sample very simple. The x-ray beam was perpendicular to the substrate surface and therefore
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16 was sensitive to ordering along the plane of the sample but may not pick up ordering out of
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18 plane i.e. planes parallel to the surface of the sample. This is not an issue assuming the samples
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20 are isotropic but should be kept in mind for highly oriented films. The solution to be cast was
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22 pipetted onto the substrate surface above the measurement point and whilst it was running
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24 down the static substrate the spinning process and data collection were initiated. Therefore, this
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26 restricted the spin coating process to only a small area. The spin coater was housed inside a
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28 custom-built chamber in front of a beamstop and after series of slits to reduce background
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30 scatter, and also as a safety measure to ensure solvent vapour was extracted by the ventilation
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32 system. The chamber was filled with He to further minimize background scatter due to air
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34 scattering the intense X-ray beams. 100 μl of perovskite solution was loaded into a pipette tip
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36 using a syringe pump which was controlled remotely due to the ionizing radiation needed for
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38 the X-ray experiment. The solution was then deposited into a substrate mounted on the hollow
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40 of the shaft motor. Once the solution was deposited onto the substrate surface the rotation and
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42 the X-ray measurements were started. The high flux of X-ray synchrotron radiation available
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44 at Beamline i07 at the Diamond Light Source (Didcot, England) was used as the X-ray source.
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46 The X-ray energy was 10.5 keV corresponding to a wavelength of 1.18 Å. The beam resulted
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48 in a spot size on the sample which was approximately 100 μm high by 200 μm wide. The 2D
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50 WAXS images were recorded using a Pilatus 2M detector at a distance of 42.1cm from the
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3 sample. The experimental set up was calibrated by measuring a sample of silver behenate which
4 has a well-defined structure^{23,24}. For image processing and data analysis the software package
5 DAWN was used²⁵. Fast 2D images with an integration time of 0.5 s were saved over the first
6 150 s followed by slower images with better statistics due to an integration time of 5 s per
7 image. For each of these images the data transfer took 2.8 s resulting in one profile every 7.8
8 s. The total measurement time was approximately 600 s. The fast datasets did not show
9 anything other than a reduction in the solvent scattering therefore only the slow datasets are
10 presented here. Unlike the other works in the literature which use the grazing incidence
11 geometry for their experiments, in this work transmission geometry with the substrate mounted
12 vertically is used instead. This approach has the advantage of being very simple to align
13 experimentally, does not suffer from sample misalignment during deposition and because the
14 sample precesses through the beam, sample damage is minimized. When the sample was static
15 only a few discrete spots were observed in the 2D WAXS images indicating that a small number
16 of crystallites were being probed. However, when spinning a circle of the sample is passed
17 through the beam (because of an intentional misalignment of the centre of rotation of the spin
18 coater and the beam path – typically about 2 mm) this ‘spottiness’ is averaged out resulting a
19 more even distribution of scattering around the rings in the 2D WAXS images, as shown in Fig
20 S3 and S5. A spinning experiment probes a much larger number of crystallites than a static
21 experiment. Any beam damage caused by the x-rays was minimized by this movement of the
22 sample through the beam and the use of a fast shutter to limit the exposure to the minimum
23 time required for the measurements to be made. Ideally the annealing process would also be
24 done *in situ* also, but the setup developed did not permit this. This is an area for future
25 experimental development.
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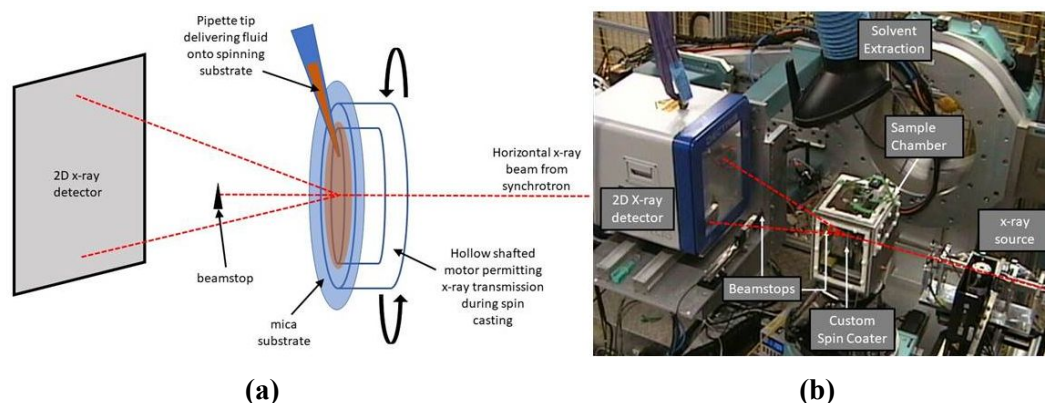


Fig. 1 shows the setup used for the *in situ* WAXS experiments. The schematic view of the transmission geometry is illustrated in **(a)**, while the photograph of the setup at i07 is shown in **(b)**, including the end of the X-ray pipe at the synchrotron, the sample chamber and the 2D X-ray detector.

After casting the films were removed from the spin coater and annealed in keeping with typical processes used in the literature¹⁴. The MAPbI₃ films were annealed on a hotplate at 90°C for 2 hours whereas the FAPbI_{3-x}Cl_x films were annealed on a hotplate at 190°C for 1 hour. The temperatures reported are the temperature of the hotplate surface rather than the sample surface; measurements on similar samples suggest this overestimates the sample surface temperature by about 10 °C. The samples were then returned to the sample environment and a 2D WAXS image collected as described above.

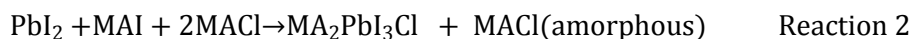
3. Results and Discussion

a. *In situ* spin coating of the 3:1 MAI:PbCl₂ solution

Fig 2 shows the 1D radially integrated scattering intensity profiles which were generated from the 2D images collected during the *in situ* spin coating of the 3:1 MAI:PbCl₂ solution to form a film of the MA₂PbI₃Cl precursor. Throughout the process peaks associated with the mica substrate were observed at 1.4 Å⁻¹ and 2.16 Å⁻¹ as is indicated in supporting information in **Fig S1**.

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3 At the start of the process the mica feature is superimposed on a broad scattering feature
4 between which is most intense between 0.4 and 1.2 Å⁻¹. This broad feature is attributed to
5 scattering due to the solvent as has previously been proposed^{12, 17}. In the initial seconds the
6 film thinning process will be dominated by rapid liquid loss due to radial flow and then in latter
7 stages it is dominated by solvent evaporation. As time progresses the solvent feature reduces
8 in intensity as expected and other peaks associated with the formation of crystals of
9 intermediate products are observed in the *in situ* WAXS data. The peak at $q = 1.1 \text{ \AA}^{-1}$ has been
10 generally assigned to a perovskite precursor phase, as was previously reported in other WAXS
11 studies^{8, 26, 27}. A similar peak was detected and defined more precisely as an intermediate
12 product by Unger et al.²⁸, Chun-Yu Chang et al.²⁹, and Hui Yu et al.¹⁹ in XRD measurements.
13 Their studies highlighted a strong diffraction peak at a Bragg diffraction angle of 15.7°, which
14 is equivalent to a scattering vector value of $q = 1.1 \text{ \AA}^{-1}$ as calculated using $q = \left(\frac{2\pi}{d}\right) = \left(\frac{4\pi\sin\theta}{\lambda}\right)$
15) where the X-ray wavelength was assumed to be the copper k_{α} wavelength $\lambda = 1.54 \text{ \AA}$.
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36 Hui Yu *et al.* proposed that the chemical reaction of 3 parts MAI with 1 part PbCl₂ produces
37 several intermediate products, involving two reaction steps¹⁹. In the initial step, the MAI and
38 PbCl₂ react to produce PbI₂, MAI, and MACl. This is followed by a reaction between PbI₂,
39 MAI and MACl to produce MA₂PbI₃Cl. Subsequently, upon heating, initially the amorphous
40 MAI and MACl to produce MA₂PbI₃Cl. Subsequently, upon heating, initially the amorphous
41 MAI and MACl to produce MA₂PbI₃Cl. Subsequently, upon heating, initially the amorphous
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In our *in situ* WAXS data during the casting of 3:1 MAI with PbCl₂ we observed a gradual increase in WAXS intensity around $q = 1.1 \text{ \AA}^{-1}$ as shown in **Fig 2**, which is attributed to the formation of the intermediate phase of MA₂PbI₃Cl. As the thin film dries during the casting process this scattering peak grows in intensity. The process results in the crystallization of an increasing amount of the intermediate product MA₂PbI₃Cl.

In addition to the peak at $q = 1.1 \text{ \AA}^{-1}$, the weaker scattering of X-ray peaks recorded at 1.19 \AA^{-1} , 1.6 \AA^{-1} , 1.7 \AA^{-1} and 2.0 \AA^{-1} are also attributed to the MA₂PbI₃Cl intermediate product^{29, 30}. The positions of these scattering peaks are in agreement with experimental studies of the X-ray diffraction analysis^{20, 31} and with the modeling work reported in³².

Sharp features at $q = 0.63 \text{ \AA}^{-1}$, $q = 1.40 \text{ \AA}^{-1}$ and around $q = 2.15 \text{ \AA}^{-1}$ are attributed to crystallites of excess MAI, in agreement with peak positions reported in an investigation of the crystal structure of MAI reported previously³³. Note that the MAI scattering at 1.4 \AA^{-1} coincides with mica related feature. In hindsight a different choice of substrate would have made the data analysis simpler.

The strong WAXS peak attributed to the intermediate phase MA₂PbI₃Cl at 1.1 \AA^{-1} becomes evident at $t = 210 \text{ s}$. This indicates the crystallization of MA₂PbI₃Cl from solution at room temperature as the solvent evaporates. After rapid initial growth, the strong intensity at $q=1.1 \text{ \AA}^{-1}$ remains constant until the end of the *in situ* WAXS measurement.

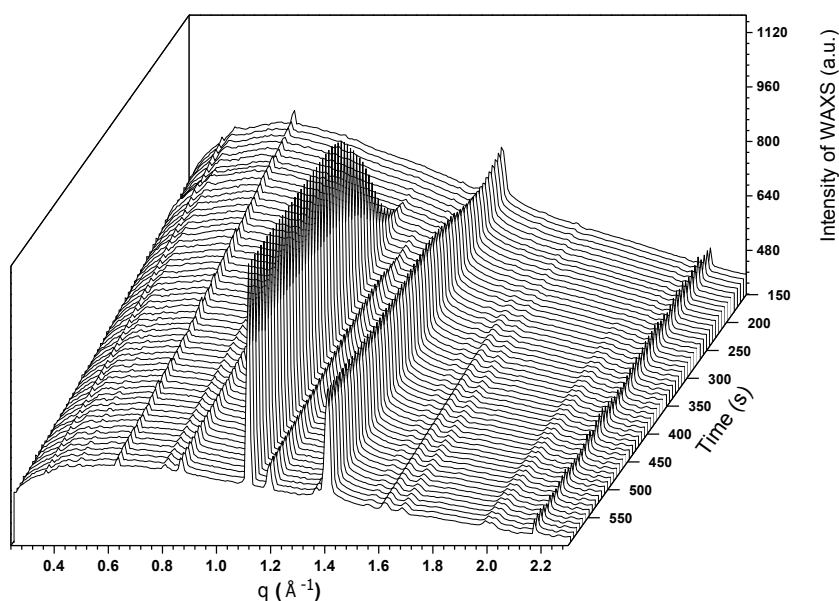
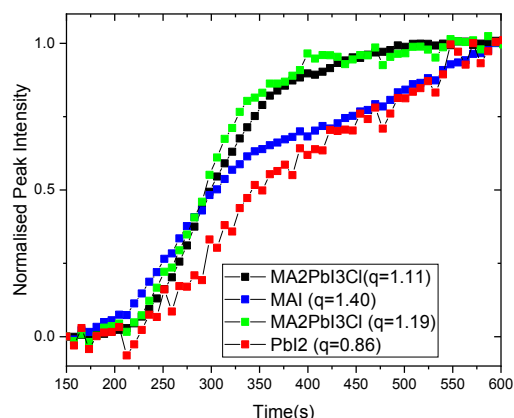


Figure 2: 1D integrated radial profile of the WAXS generated showing the formation of the precursor $\text{MA}_2\text{PbI}_3\text{Cl}$ is during the spin casting of 3:1 MAI:PbCl₂ solution plotted as a function of time.

The dynamic formation of the precursor $\text{MA}_2\text{PbI}_3\text{Cl}$ during the spin coating data suggests that there are three stages in the process. Fig 3 shows the evolution of the WAXS peak intensities as a function of time for the solvent centered at $q = 0.55 \text{ \AA}^{-1}$, the intermediate product $\text{MA}_2\text{PbI}_3\text{Cl}$ at $q = 1.1 \text{ \AA}^{-1}$ and the scattering from MAI and mica at 1.4 \AA^{-1} . Fig 3 (a) shows the as observed peak intensity for each of the features of interest whereas Fig 3 (b) shows the same data after normalization and correcting for the solvent loss by subtracting the initial solvent intensity at that q value scaled by the solvent evaporation profile at each time step. This data processing makes the changes due to the crystallization process more straightforward to interpret and enables the data to be fitted using the Johnson-Mehl-Avrami-Kolmogorov equation

$$y = 1 - \exp(-k(t - t_0)^n),$$

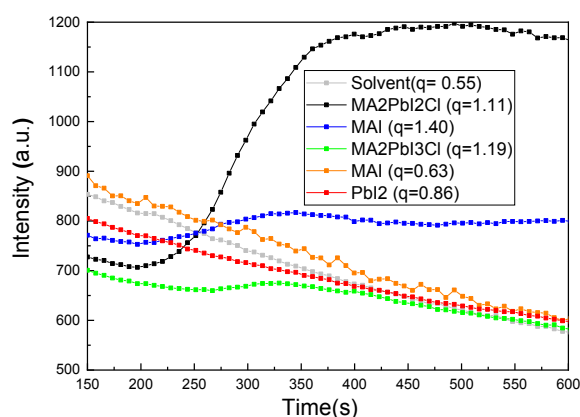
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3 where t is time, t_0 the start of the process being fitted, k is a time constant for the process
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5 and n is the Avrami exponent, following similar analysis used in the literature^{34, 35}. This
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7 expression was originally developed to describe isothermal phase transitions in metals such as
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9 crystallization. It has since been applied to crystallization in many different situations. In this
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11 simple form the derivation was premised upon assumptions that the nucleation of the
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13 crystallites occurs homogeneously and randomly, the growth rate is not affected by the extent
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15 of crystallization and occurs equally in all directions. It is uncertain whether all of these
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17 requirements are met in the system being studied, therefore in order to avoid over interpreting
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19 the results they are primarily used to determine an accurate onset time ' t_0 ' and the
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21 exponential factor ' n ' is taken only as a crude indication of high or low dimensionality³⁶⁻³⁸.
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23 During the first stage, up to approximately 210 s, the process is dominated by solvent loss.
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25 After that crystallization of the intermediate product $\text{MA}_2\text{PbI}_3\text{Cl}$ occurs. The maximum
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27 intensity of the intermediate product peak is reached after about 350 s. After this there are no
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29 significant changes in the crystalline products. The similarity of the two growth profiles
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31 shown in figure 3(b) attributed to the intermediate product provides confirmation that their
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33 peak assignment to the same structure is correct. The crystallization of the unreacted PbI_2 at
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35 0.86 \AA^{-1} and MAI at 1.4 \AA^{-1} starts at approximately the same time as the intermediate product.
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37 This indicates that with the casting solution for $\text{MA}_2\text{PbI}_3\text{Cl}$, the early stages of spin casting
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39 are critical during the crystallization of perovskite films, with all the materials drying out of
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41 solution at the same time locking in the morphology of the final film.
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(a)

(b)

Figure 3: Intensity of the main WAXS features for the $\text{MA}_2\text{PbI}_3\text{Cl}$ films as a function of time. Fig 3(a) is the peak intensity as measured and Fig 3(b) the same data set after normalization and correction for the reduction in the solvent background over time. The peak intensity of the solvent background scattering was taken at $q = 0.55 \text{ \AA}^{-1}$, $\text{MA}_2\text{PbI}_3\text{Cl}$ at $q = 1.11 \text{ \AA}^{-1}$ and 1.19 \AA^{-1} , MAI at $q = 0.63$ and 1.4 \AA^{-1} and the PbI_2 at $q = 0.86 \text{ \AA}^{-1}$.



As shown in Fig 4 the fitted Avrami exponent n is found to be 1.66 for the $\text{MA}_2\text{PbI}_3\text{Cl}$. This exponent is related to both the nature of the nucleation processes

occurring and the dimensionality of the crystallization, and whilst it cannot be considered conclusive evidence these results are consistent with low dimensional growth. The structures of the $\text{MA}_2\text{PbI}_3\text{Cl}$ chains formed are known to be one dimensional⁸. This is consistent with 1D growth with some nucleation of 1D precursor crystals occurring. In comparison the PbI_2 exponent is even lower at 1.37, again suggesting low dimensional growth for the PbI_2 , perhaps with more limited nucleation and greater confinement between individual precursor crystals within the crystallizing layer. It should also be noted that the intensity of the PbI_2 peak is considerably weaker than the precursor. The onset time t_0 for the two fits are the same

(within the margins of error at 209 ± 4 s and 215 ± 11 s for the $\text{MA}_2\text{PbI}_3\text{Cl}$ and PbI_2 respectively) confirming the earlier assumption that they start at the same time.

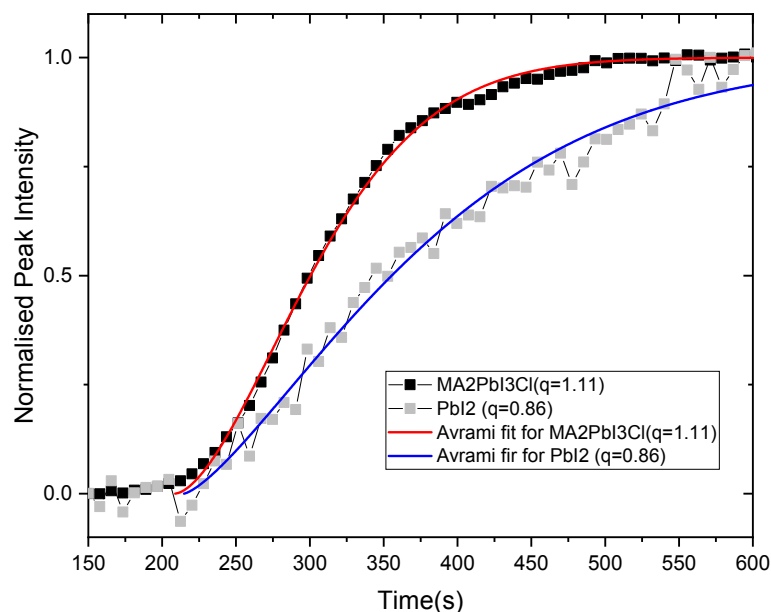


Figure 4: Avrami fitting applied to the WAXS peak intensity data for the formation of $\text{MA}_2\text{PbI}_3\text{Cl}$ at $q = 1.1 \text{ \AA}^{-1}$ and PbI_2 at $q = 0.86 \text{ \AA}^{-1}$. The Avrami exponent n was found to be 1.66 ± 0.09 for the $\text{MA}_2\text{PbI}_3\text{Cl}$ and 1.37 ± 0.13 for the PbI_2 , further details are shown in Figure S2.

It is noted that the onset of crystallization in our experimental setup is slower (precursor formation after 210s) than that previously reported by Munir *et al*⁷ (precursor formation after 50s). We attribute this to the slower spin speed used in our experiments (1660 rpm as opposed to 2000 rpm) and the presence of an environmental chamber which may slow the evaporation of the solvent due to a buildup of solvent vapour within the chamber. Therefore we have inadvertently demonstrated that it is possible to control the crystallization dynamics. This control is promising as it indicates that it is possible to change the crystallization dynamics and thereby optimize the morphology of the perovskite structures formed.

b. WAXS from the $\text{MA}_2\text{PbI}_3\text{Cl}$ precursor film upon annealing

WAXS patterns from the $\text{MA}_2\text{PbI}_3\text{Cl}$ precursor films were also measured before and after annealing to allow comparison between the as cast and annealed samples. To achieve this it

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3 was necessary to remove the as cast samples from the horizontal axis spin coater and place
4 them on a hotplate at the appropriate temperature. Once annealed for the desired time the
5 samples were replaced on the spin coater and the corresponding WAXS pattern was recorded.
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10 Fig 4 compares the 1D radially integrated WAXS profile for the as cast dry film to the film
11 after it has been annealed. The largest scattering peak in the as cast precursor structure that was
12 evident at 1.1 \AA^{-1} however, this had almost entirely disappeared after annealing the film. This
13 indicates that this intermediate $\text{MA}_2\text{PbI}_3\text{Cl}$ structure is thermally unstable and undergoes a
14 transition to form the desired MAPbI_3 perovskite structure easily. The changes observed upon
15 annealing the perovskite are attributed to a conversion occurring during thermal annealing as
16 was explained in React.3. During annealing Lilliu *et al*¹⁷ observed orientation perturbations of
17 both the $\text{MA}_2\text{PbI}_3\text{Cl}$ and MAPbI_3 crystallites which we attributed to the movement of the
18 subliming MACl passing through the film to escape from the surface. In the annealed data set
19 there are new features not observed in the as cast sample, in particular there are strong peaks
20 at $q = 1.0 \text{ \AA}^{-1}$ and 2.0 \AA^{-1} attributed to the final MAPbI_3 perovskite structure which has replaced
21 the unstable intermediate phase. The peaks at $q = 1.0 \text{ \AA}^{-1}$ and 2.0 \AA^{-1} are assigned to the (110)
22 and (220) crystal planes of the tetragonal structure of the MAPbI_3 perovskite phase. Similar
23 peaks have previously been observed at $2\theta = 14.1^\circ$ and 28.42° which correspond to q values of
24 1.0 \AA^{-1} and 2.0 \AA^{-1} , respectively^{4, 39, 40}. Our results agree with those of Yang *et al* who studied
25 the competition between different halides concluding that irrespective of the ratio in which
26 halides are present, the iodide dominates the final crystal structure after annealing with chloride
27 only residing in small quantities at the grain boundaries⁶, and Pool *et al* who used X-ray
28 absorption near edge structure to find that any remaining Cl present is at the grain boundaries
29 or in poorly crystalline material⁴¹. The band gap of MAPbI_3 is known to be 1.6 eV which is
30 consistent with our UV-vis data for the final perovskite formed (not shown). This indicates that
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chloride is not substantially present in our final film, as does our WAXS data which indicates that the final structure is MAPbI_3 .

In the 1D radially integrated WAXS profiles of the annealed perovskite film shown in Fig 5 a very weak scattering peak at $q = 1.1 \text{ \AA}^{-1}$ is still observed which indicates the existence of a small amount of residual precursor product remains as an impurity. As in the previous section scattering features attributed to the mica substrate and excess MAI are observed at $q = 1.4 \text{ \AA}^{-1}$ and 2.16 \AA^{-1} . This implies that both the stoichiometry of the mixture used, and the annealing conditions used could both be further optimized so as to minimize these undesirable impurities within the films.

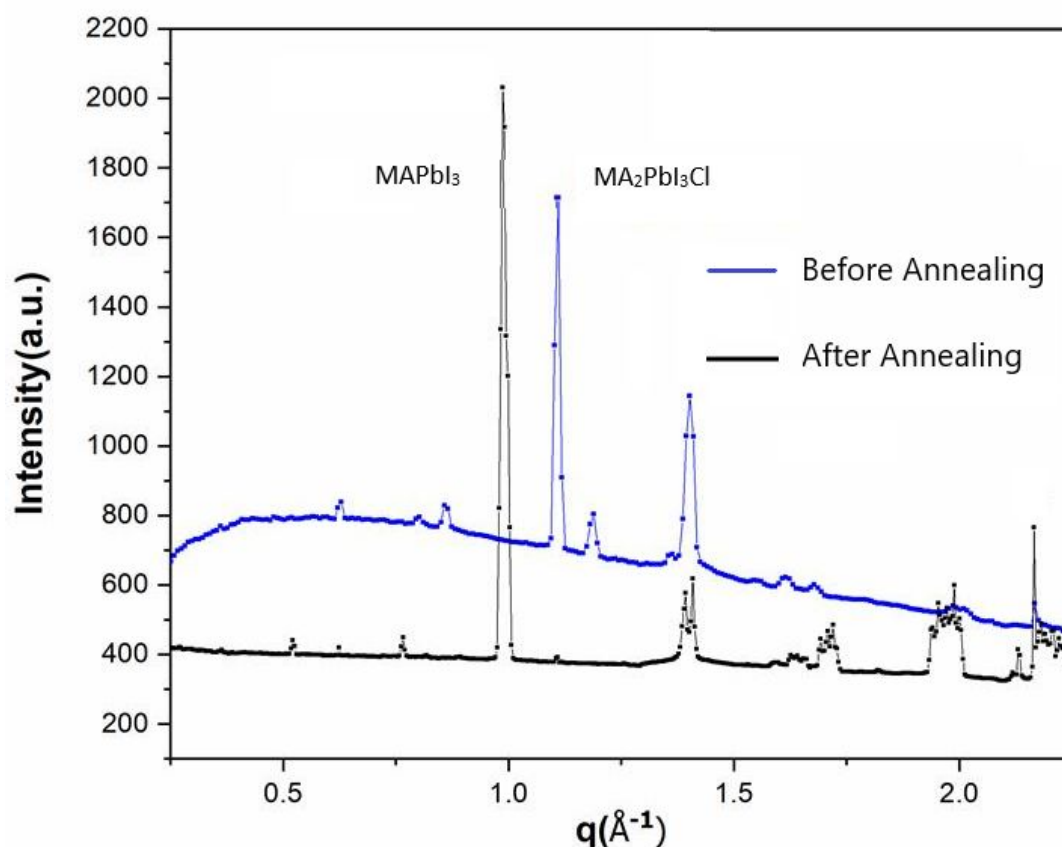


Figure 5: Comparison between the 1D radially integrated WAXS profiles of $\text{MA}_2\text{PbI}_3\text{Cl}$ as cast by spin coating and the resulting MAPbI_3 after thermal annealing at 90°C for 2 hours.

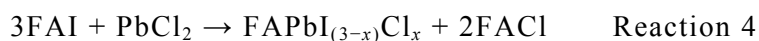
c. *In situ* spin coating of the 3:1 FAI:PbCl₂ solution

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3 The crystallization dynamics of $\text{FAPbI}_{3-x}\text{Cl}_x$ during the spin coating were also investigated
4 using the same *in situ* WAXS technique. **Fig 6** shows the time dependent radially integrated
5 WAXS scattering profiles collected *in situ* as function of processing time during spin casting
6 of the solution for $\text{FAPbI}_{3-x}\text{Cl}_x$. Initially the only dominant sharp peak is observed at $q=1.4\text{\AA}^{-1}$
7 which is attributed to scattering from the mica substrates as discussed previously. During the
8 first 380 s the broad solvent related feature present between 0.4 and 1.2\AA^{-1} steadily disappears
9 in the same way the solvent feature disappeared in **Fig 2**. This reduction in solvent scattering
10 proceeds during the spin coating process. It is assumed that this scatter originates from the
11 solvent originally as a liquid film, and then trapped within the perovskite film, due to its
12 persistence long after the film has solidified, reducing significantly after annealing.

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27 As the film drying continues, other sharp peaks appear. Once the solvent has largely evaporated
28 evidence of crystallization is noted. After about 380 s, the yellow $\delta\text{-FAPbI}_3$ phase forms, as
29 revealed by the strong peak at $q = 0.7\text{\AA}^{-1}$. The initial stage of crystallization of $\delta\text{-FAPbI}_3$ takes
30 significantly longer to initiate than the formation of the first crystals observed when the casting
31 solution for MAPbI_3 was used, where the initial appearance of crystalline peaks took only about
32 210 s. The WAXS peaks at 0.7\AA^{-1} , 1.3\AA^{-1} , 1.6\AA^{-1} , 1.8\AA^{-1} and 2.3\AA^{-1} are also attributed to
33 the presence of the hexagonal structure of yellow-perovskite phase of $\delta\text{-FAPbI}_3$, as was
34 reported in X-ray diffraction results using a $\text{Cu } k_\alpha$ X-ray radiation source with $\lambda=1.54\text{\AA}$ in ⁴²⁻
35 ⁴⁴. Their appearance and the gradual enhancement of the associated WAXS intensity over time
36 indicate that these peaks are related to crystals that are growing on the substrate as the solvent
37 evaporates over time.

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53 The WAXS signal at 1.1\AA^{-1} is attributed to scattering caused by the intermediate product
54 FACl ; 1.1\AA^{-1} is equivalent to an X-ray diffraction angle of 15.3° (for $\text{Cu } K_\alpha$), where a
55 diffraction peak from FACl has been reported ²². This peak is not quite as intense as that
56 associated with the yellow phase $\delta\text{-FAPbI}_3$ at 0.7\AA^{-1} , and it is also noted that the crystallization
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3 mechanism for FACl takes longer to initiate (~440 s) as compared to 380 s for the δ -FAPbI₃
4 feature. At the same time as the appearance of the FACl peak other new peaks associated with
5 the perovskite phase of FAPbI_{3-x}Cl_x are also detected at $q=1.0 \text{ \AA}^{-1}$ and $q=2.0 \text{ \AA}^{-1}$. These features
6 occur at q values equivalent to the Bragg diffraction angle of 14.5° and 28° previously reported
7 for FAPbI_{3-x}Cl_x and show negligible difference to the x-ray diffraction from FAPbI₃^{22, 44}.
8 Therefore it is likely that the final films are FAPbI₃ but because some uncertainty remains we
9 continue to represent the FA perovskite films as FAPbI_{3-x}Cl_x. Finally, there is a period of
10 stability where the sample does not change much. Lv *et al* describe the overall process as shown
11 below as reaction 4 ²².



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28 The dynamics of the crystallization processes involved in the preparation of FAPbI_{3-x}Cl_x is not
29 as straightforward as that for the MAPbI₃ casting as illustrated in Fig 7. It cannot be easily
30 divided into three stages based on the *in situ* WAXS data presented. Initially there is the same
31 reduction in the background scattering due to the solvent evaporating as is the case when
32 casting MAPbI₃. However, the subsequent process is more complex. The crystallization
33 associated with the hexagonal yellow δ -FAPbI₃ precursor forming commences from 380 s
34 onwards. This is followed by the crystallization of FACl which appears slightly later in the
35 process after about 440 s and at the same time lower intensity peaks (compared to the δ -FAPbI₃)
36 of the desired tetragonal perovskite FAPbI_{3-x}Cl_x crystals are detected at $q=1.0 \text{ \AA}^{-1}$ and 2.0 \AA^{-1} .
37 In this case there are four stages during the crystal formation: solvent loss, initial δ -FAPbI₃
38 formation, the FACl and FAPbI_{3-x}Cl_x crystallization and finally a period of stability. Also, it
39 should be noted that some of the desired perovskite phase FAPbI_{3-x}Cl_x is formed directly
40 without the requirement for annealing. This is in contrast to the spin coating of MAPbI₃ film,
41 where only crystals of intermediate products were formed in the as cast film. To produce
42 MAPbI₃ films annealing is required. There are three stages in the MAPbI₃ film casting process
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and four in the $\text{FAPbI}_{3-x}\text{Cl}_x$ process, because there is an extra stage associated with the formation of the FACl and some of the desired $\text{FAPbI}_{3-x}\text{Cl}_x$ perovskite.

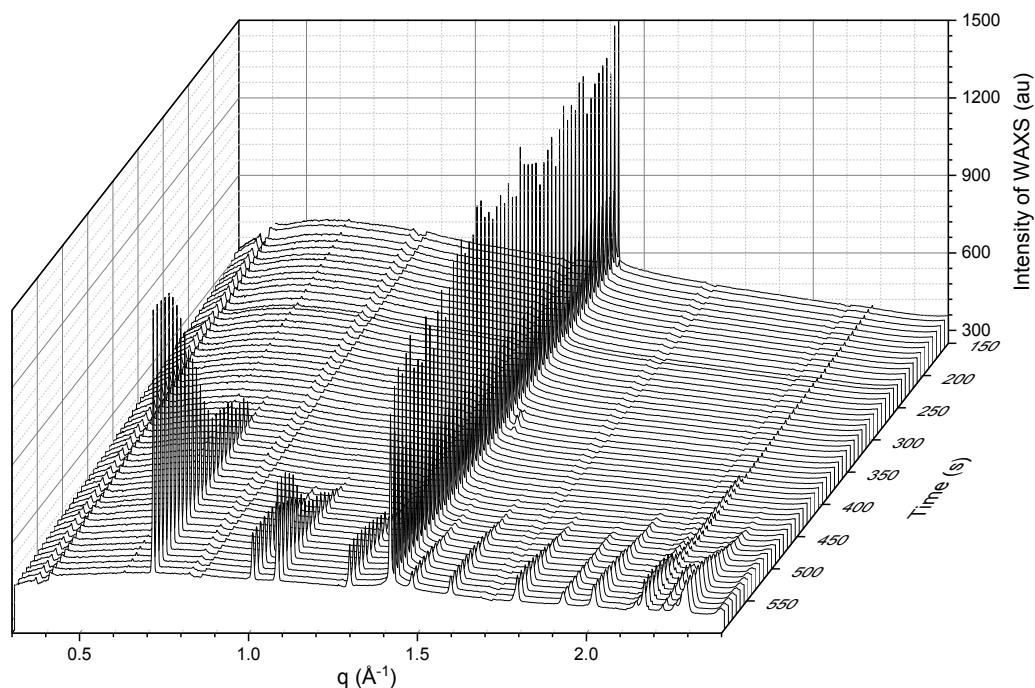
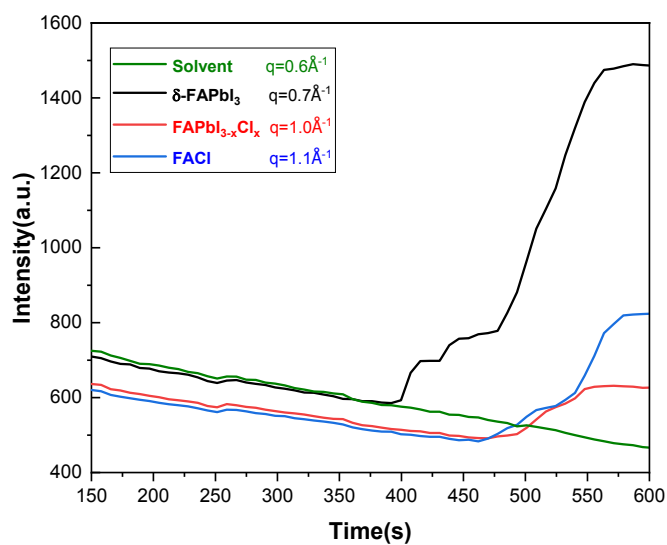
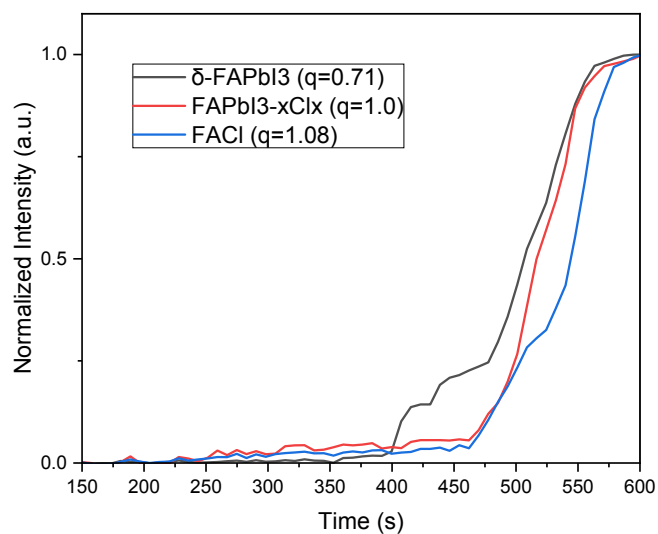


Figure 6: 1D integrated radial profile of the WAXS generated during the spin casting of $\text{FAPbI}_{3-x}\text{Cl}_x$ solution plotted as a function of time.



(a)



(b)

Figure 7: Peak intensity of the main WAXS features of as they appear during the *in situ* observation of $\text{FAPbI}_{3-x}\text{Cl}_x$ perovskite film formation as a function of the time. Part (a) is the peak intensity as measured and part (b) the same data set after normalization and correction for the reduction in the solvent background over time. The peak intensity of the solvent background scattering was taken at $q = 0.6 \text{ \AA}^{-1}$, δ -phase at $q = 0.7 \text{ \AA}^{-1}$, intermediate product of FACl at $q = 1.1 \text{ \AA}^{-1}$ and the perovskite phase $\text{FAPbI}_{3-x}\text{Cl}_x$ at $q = 1.0 \text{ \AA}^{-1}$.

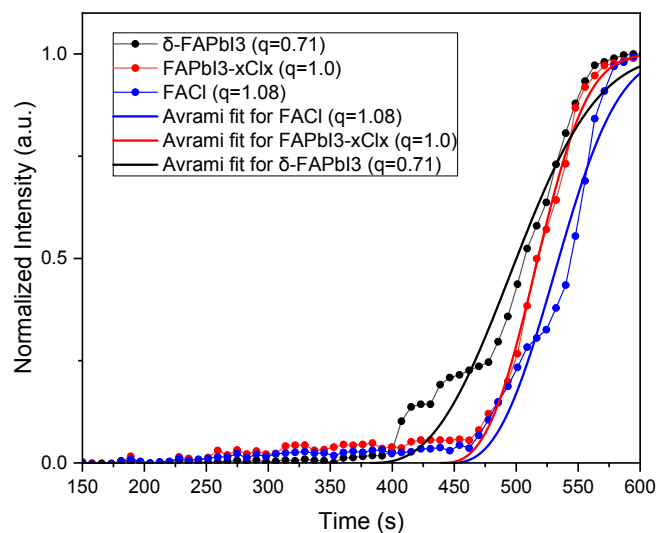


Figure 8: Avrami fitting applied to the WAXS peak intensity data for the formation of δ -FAPbI₃ at $q = 0.71 \text{ \AA}^{-1}$, $\text{FAPbI}_{3-x}\text{Cl}_x$ at $q = 1.0 \text{ \AA}^{-1}$, and FACl at $q = 1.08 \text{ \AA}^{-1}$. The Avrami exponent n was found to be 2.63 ± 1.23 , 2.86 ± 0.97 and 2.67 ± 1.64 for the δ -FAPbI₃, $\text{FAPbI}_{3-x}\text{Cl}_x$, and FACl respectively. Further details are shown in Figure S2.

The quality of the Avrami fits presented in Figure 8 are not as good as those for the $\text{MA}_2\text{PbI}_3\text{Cl}$ film crystallization presented in discussed in Figure 4, and as a result the error associated with the exponent n is much larger here. It appears that the dimensionality of all the features presented here are of higher dimension than those present in the MA system. The higher value of n suggests that the growth of the crystals in this case is more likely to be 3D. The δ -FAPbI₃ is known to have a 3D hexagonal structure⁴². The time at which crystallization begins is considerably later in the FA system, and it is noted that unlike the MA system not all the materials that form here begin to crystallize at the same time. This could be because there are

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3 two crystallization processes competing for material as it falls out of solution due to solvent
4 loss. The fitted start time for the δ -FAPbI₃ is at $t_0 = 382 \pm 36$ s but this process slows once the
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FACl and features FAPbI_{3-x}Cl_x begin to appear after $t_0 = 446 \pm 39$ s and $t_0 = 439 \pm 19$ s,
respectively. This suggests that there is then competition for material falling out of solution
and the appearance of the other materials slows down the further formation of the δ -FAPbI₃.
~~One possible explanation could be that that some of~~ Some of the material that would have
formed δ -FAPbI₃ early in the process is now forming FACl and we speculate that this results
in strain induced conversion of some of the δ -FAPbI₃ into the desired FAPbI_{3-x}Cl_x.

d. WAXS from the FAPbI_{3-x}Cl_x film upon annealing

Whilst some FAPbI_{3-x}Cl_x is formed directly, in order to complete the conversion of the other
precursor materials into FAPbI_{3-x}Cl_x annealing is required. The main differences between the
WAXS profiles of the before and after annealing are presented in **Fig 9 (a)**. After annealing,
high intensity X-ray scattering peaks are observed at $q = 1.0 \text{ \AA}^{-1}$ and $q = 2.0 \text{ \AA}^{-1}$ associated with
FAPbI_{3-x}Cl_x. In addition, there are several weak scattering peaks between 0.8 \AA^{-1} and 0.9 \AA^{-1}
that are attributed to PbI₂, and the peak at 1.4 \AA^{-1} which originates from the substrate and PbCl₂
⁴⁵. However, the group of scattering peaks associated to the hexagonal structure of δ -FAPbI₃
and the intermediate phase of FACl are no longer present. This indicates that they have
undergone conversion into the desired FAPbI_{3-x}Cl_x or evaporated.

The strong scattering peak around $q=1.0 \text{ \AA}^{-1}$ is assigned to perovskite phase, as reported before
^{22, 46}. The absence of the intermediate materials FACl and δ -FAPbI₃ indicate that the high
temperature annealing (190°C) causes the conversion, decomposition or evaporation of these
intermediate products. The need for this higher temperature annealing to assist the complete
formation of FAPbI_{3-x}Cl_x perovskite phase is associated with the phase transition at about 150
°C from the hexagonal δ -FAPbI₃ to the desired cubic perovskite phase ⁴⁷. However, it is noted

that some $\text{FAPbI}_{3-x}\text{Cl}_x$ is formed without annealing and is present in the as cast sample, as indicated by the weak scattering in the as cast WAXS profile in **Fig 9(a)** at $q=1.0 \text{ \AA}^{-1}$ and $q=2.0 \text{ \AA}^{-1}$. This may be due to FAPbI_3 being extremely sensitive to pressure⁴⁷ and the strain induced by the continually decreasing space and FACl crystallization within the drying film providing sufficient pressure to induce the desire phase transition in some of the FAPbI_3 .

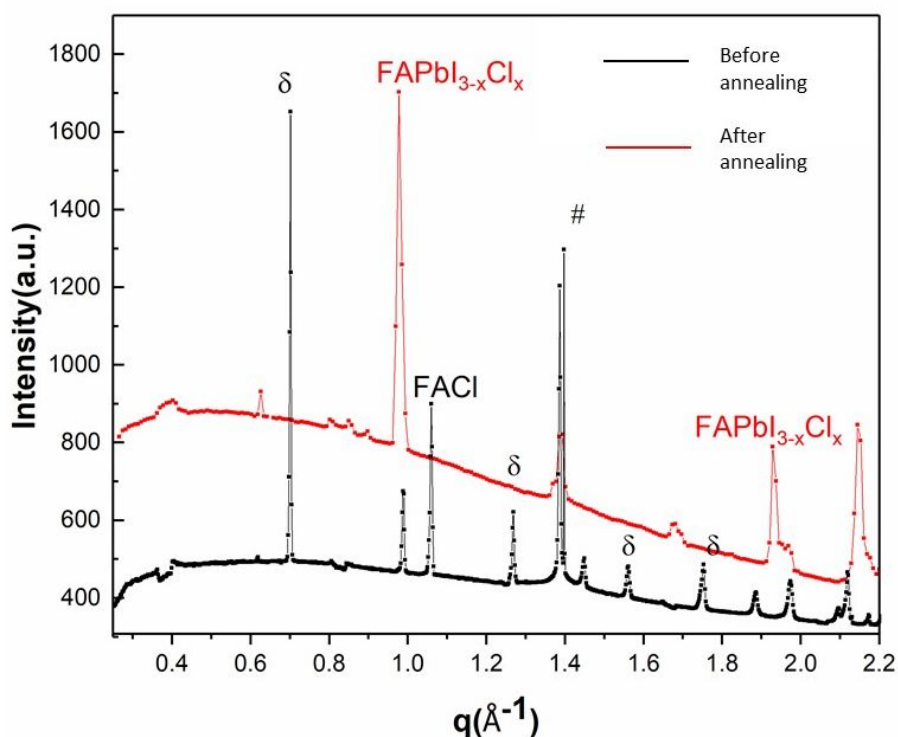


Figure 9: The WAXS profiles of $\text{FAPbI}_{3-x}\text{Cl}_x$ films as cast and after thermal annealing. The peaks associated with the δ - FAPbI_3 are labelled with a δ and the # indicated the MAI and PbCl_2

4. Conclusion

In situ WAXS has been used to follow the crystallization dynamics during the formation of MA and FA perovskite films. During spin casting of the 3:1 MAI: PbCl_2 ink for MAPbI_3 fabrication at room temperature the main crystals detected after the solvent has dried are consistent with the formation of a precursor $\text{MA}_2\text{PbI}_3\text{Cl}$ with a 1D structure as described by

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3 Stone *et al*⁸ which serve as an intermediate before the final perovskite phase forms upon
4 annealing. The thermally unstable MA₂PbI₃Cl crystals are almost entirely converted into the
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6 final perovskite.
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10 Significantly different dynamics were noted during the spin casting of the FAPbI_{3-x}Cl_x ink.
11 Firstly, the crystallization process is notably slower with the first intermediate products first
12 detected more than 380 s after the start of the casting process whereas the first crystals formed
13 during MAPbI_{3-x}Cl_x ink casting after 210 s. Secondly, the yellow δ-FAPbI₃ phase crystallizes
14 out of solution first, then after 440 s there appears to be competition for the material falling out
15 of solution between forming δ-FAPbI₃ or FACl which is coincident with a small amount of the
16 perovskite FAPbI_{3-x}Cl_x forming. These two perovskites are known to have very different
17 stabilities⁴⁸. We propose that the enhanced stability of the FAPbI₃ is associated with its slower
18 crystallization kinetics. The *in situ* WAXS data collected during spin casting indicates that the
19 FAPbI_{3-x}Cl_x perovskite ink which forms more slowly via a 3D precursor is more stable since
20 some of the desired perovskite phase is formed directly whereas MAPbI_{3-x}Cl_x crystals which
21 form via a more rapidly crystalizing 1D precursor and only fully forms after thermal annealing.
22 These results suggest that there is potential to control the stability, morphology and therefore
23 device performance of perovskite materials through careful control of the film formation
24 dynamics and could have a significant impact upon the life time for solar cells based on these
25 perovskites.
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EP/M025020/1.

6. Supporting Information

WAXS data for a clean substrate, and MAPbI_{3-x}Cl_x spin cast at high and low speed

Details of the Avrami fitting for MAPbI_{3-x}Cl_x

2D WAXS images and SEM images from MAPbI_{3-x}Cl_x before and after thermal annealing

Details of the Avrami fitting for FAPbI_{3-x}Cl_x

2D WAXS images from FAPbI_{3-x}Cl_x before and after thermal annealing

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TOC Graphic

